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Financing of the United Nations Support Mission in Haiti, the United Nations Transition Mission in Haiti and the United Nations Civilian Police Mission in Haiti

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Report of the Secretary-General

Summary

The present report contains the financial performance report of the United Nations Support Mission in Haiti (UNSMIH), the United Nations Transition Mission in Haiti (UNTMIH) and the United Nations Civilian Police Mission in Haiti (MIPONUH) for the period from 1 July 1997 to 30 June 1998. The General Assembly, in its resolutions 51/15 B of 13 June 1997 and 52/246 of 26 June 1998, appropriated a total amount of \$28,318,900 gross (\$27,080,900 net) for the maintenance of UNSMIH, UNTMIH and MIPONUH for the same period.

Expenditures for the period totalled \$27,412,100 gross (\$26,215,700 net) excluding budgeted voluntary contributions in kind of \$1,999,900, resulting in an unencumbered balance of \$906,800 gross (\$865,200 net). The unencumbered balance resulted mainly from lower staff costs, the availability of vehicle spare parts from cannibalized vehicles, and reduced requirements for medical treatment and services.

The action to be taken by the General Assembly in connection with the financing of UNSMIH, UNTMIH and MIPONUH is shown in paragraph 14 of the present report.



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I. Introduction

1. The mandate of the United Nations Support Mission in Haiti (UNSMIH) ended on 31 July 1997. By its resolution 51/15 B of 13 June 1997, the General Assembly appropriated an amount of \$15,091,000 gross (\$14,478,400 net), inclusive of \$561,000 for the support account for peacekeeping operations, for the maintenance of UNSMIH for the period from 1 to 31 July 1997 and its liquidation thereafter. This appropriation was based on the budget contained in the report of the Secretary-General dated 11 March 1997 (A/51/825).
2. On 30 July 1997, the Security Council, by its resolution 1123 (1997), established the United Nations Transition Mission in Haiti (UNTMIH) for a single four-month period ending 30 November 1997. Pursuant to the decision of the Council, the Secretary-General submitted a report dated 22 October 1997 (A/52/512) containing a revised budget, which provided for the maintenance of UNSMIH for the month of July 1997, the maintenance of UNTMIH from 1 August to 30 November 1997 and the liquidation of the operations from 1 December 1997 to 30 June 1998. No action was taken by the General Assembly on that report.
3. The Security Council subsequently decided, by its resolution 1141 (1997) of 28 November 1997, to establish the United Nations Civilian Police Mission in Haiti (MIPONUH), with a mandate limited to a single one-year period ending on 30 November 1998. Pending the issuance of revised cost estimates for the period from 1 July 1997 to 30 June 1998, the Secretary-General obtained the concurrence of the Advisory Committee on Administrative and Budgetary Questions to enter into commitments in the amount of \$9,237,300 gross (\$8,805,800 net) to meet the costs of MIPONUH, in accordance with the provisions of section IV of General Assembly resolution 49/233 A of 23 December 1994.
4. The revised budget for the period from 1 July 1997 to 30 June 1998 for the maintenance of UNSMIH, UNTMIH and MIPONUH was set out in the report of the Secretary-General dated 9 April 1998 (A/52/869). It amounted to \$30,318,800 gross (\$29,080,800 net), inclusive of budgeted voluntary contributions in kind totalling \$1,999,900 for two medium-utility helicopters.
5. The Advisory Committee on Administrative and Budgetary Questions, in paragraph 19 of its report of 15 May 1998 (A/52/905), recommended that the General Assembly appropriate an amount of \$13,227,900 gross (\$12,602,500 net) in addition to the amount of \$15,091,000 gross (\$14,478,400 net) appropriated under resolution 51/15 B. The total appropriation for the reporting period amounted to \$28,318,900 gross (\$27,080,900 net).
6. The General Assembly, by its resolution 52/246 of 26 June 1998, appropriated an additional amount of \$13,227,900 gross (\$12,602,500 net) for the maintenance of the missions in Haiti for the period from 1 July 1997 to 30 June 1998. These amounts have been assessed on Member States. By the same resolution, the Assembly decided to continue to use the Special Account established for UNSMIH in its resolution 51/15 A of 4 November 1996 for UNTMIH and MIPONUH.
7. In view of the General Assembly's decision to use the Special Account established for UNSMIH for the purpose of accounting for income and expenditure incurred for UNTMIH beginning on 1 August 1997 and for MIPONUH beginning on 1 December 1997, it is proposed that the special arrangements approved for UNSMIH in resolution 51/15 B with regard to the application of article IV of the Financial Regulations of the United Nations, whereby appropriations required in respect of obligations owed to Governments

shall be retained beyond the period stipulated under financial regulations 4.3 and 4.4, as set out in the annex to the same resolution, also apply to UNTMIH and MIPONUH.

II. Implementation of the budget

8. Information on the operation of UNSMIH, UNTMIH and MIPONUH during the period under review is contained in the reports of the Secretary-General to the Security Council dated 19 July 1997 (S/1997/564), 31 October and 20 November 1997 (S/1997/832 and Add.1), 20 February 1998 (S/1998/144) and 28 May 1998 (S/1998/434).

9. The successful implementation of the UNSMIH political mandate provided a hostility-free environment, which resulted in the repatriation of military personnel and the reduction of civilian personnel.

10. Activities during the MIPONUH mandate focused on strengthening the capacity of the National Police to police the country. During the mandate, renovation was undertaken of police stations at which United Nations civilian police would be located in the course of strengthening and monitoring the National Police. Additionally, MIPONUH resumed operation of a marine security fleet in order to assist civilian police in their monitoring of various islands around the coastline.

11. The state of the mission's aged vehicle fleet continued to deteriorate. On 1 July 1997 there were 418 operational vehicles in the mission area, of which 194 had been written off by 30 June 1998. Some parts were salvaged from these vehicles for use as spare parts for the remaining fleet, resulting in savings under that line item.

III. Financial performance report for the period from 1 July 1997 to 30 June 1998

12. As indicated in table 1, from the appropriation of \$28,318,900 gross (\$27,080,900 net) for the maintenance of UNSMIH, UNTMIH and MIPONUH for the period from 1 July 1997 to 30 June 1998, expenditures amounted to \$27,412,100 gross (\$26,215,700 net), inclusive of \$5,146,800 in unliquidated obligations. The resulting unencumbered balance of \$906,800 gross (\$865,200 net) represents in gross terms, approximately 3 per cent of the amount appropriated. Annex I to the present report contains the financial performance information for the reporting period by budget line item, with supplementary information on significant variances presented in annex II. Annex IV contains a chart showing apportionment and expenditure by main budget groups.

Table 1
Apportionment and expenditure
(Thousands of United States dollars)

<i>Category of expenditure</i>	<i>Apportionment</i>	<i>Expenditure*</i>	<i>Variance</i>
Military personnel costs	2 181.2	2 169.1	12.1
Civilian personnel costs	17 905.8	17 368.9	536.9
Operational costs	8 344.1	7 596.5	747.6
Other programmes	88.7	44.0	44.7
United Nations Logistics Base at Brindisi	—	476.1	(476.1)
Support account for peacekeeping operations	561.0	561.0	—
Staff assessment	1 238.0	1 196.4	41.6
Total	30 318.8	29 412.0	906.8
Income from staff assessment	(1 238.0)	(1 196.4)	(41.6)
Voluntary contributions in kind (budgeted)	(1 999.9)	(1 999.9)	—
Gross requirements	28 318.9	27 412.1	906.8
Net requirements	27 080.9	26 215.7	865.2
Voluntary contributions in kind (non-budgeted)	—	—	—
Total resources	27 080.9	26 215.7	865.2

* Includes an amount of \$5,146,800 in unliquidated obligations.

13. Information on the deployment of military contingents and civilian personnel during the reporting period is presented in table 2 below and detailed in annex III to the present report.

Table 2
Authorized staffing, incumbency and vacancy rates for military and civilian personnel
for the period from 1 July 1997 to 30 June 1998

<i>Personnel category</i>	<i>Authorized strength</i>	<i>Budgeted strength (average)</i>	<i>Actual strength (average)</i>	<i>Vacancy rate (percentages)</i>
UNSMIH				
Military contingents	500	281	281	—
Civilian police	300	208	208	—
International staff	119	68	68	—
Local staff	156	78	78	—
United Nations Volunteers	14	13	13	—
UNTMIH				
Military contingents	50	40	40	—
Civilian police	250	209	209	—
International staff	51	40	40	—
Local staff	96	95	95	—
United Nations Volunteers	8	8	8	—
MIPONUH				
Military contingents	—	—	—	—
Civilian police	300	293	284	3.0
International staff	74	74	72	3.0
Local staff	133	133	133	—
United Nations Volunteers	17	15	13	13.0

IV. Actions to be taken by the General Assembly at its fifty-third session

14. The actions to be taken by the General Assembly in connection with the financing of UNSMIH, UNTMIH and MIPONUH are:

(a) A decision on the treatment of the unencumbered balance of \$906,800 gross (\$865,200 net) for the period from 1 July 1997 to 30 June 1998; and

(b) A decision that the special arrangements approved for UNSMIH in its resolution 51/15 B, as regards article IV of the Financial Regulations of the United Nations, as set out in paragraph 7 above, be applied to UNTMIH and MIPONUH.

Annex I

Financial performance report for the period from 1 July 1997 to 30 June 1998: summary statement

(Thousands of United States dollars)

	(1)	(2)	(3)	(4) = (1-3)
	Apportionment	Non-recurrent expenditures	Total expenditures (inclusive of non-recurrent expenditures)	Variance
I. Military personnel costs				
1. Military observers	—	—	—	—
2. Military contingents	1 802.6	—	1 790.5	12.1
3. Other costs pertaining to military personnel				
(a) Contingent-owned equipment	291.9	—	291.9	—
(b) Death and disability compensation	86.7	—	86.7	—
Subtotal, line 3	378.6	—	378.6	—
Total, category I	2 181.2	—	2 169.1	12.1
II. Civilian personnel costs				
1. Civilian police	8 259.3	—	8 345.4	(86.1)
2. International and local staff	9 014.1	—	8 686.8	327.3
3. International contractual personnel	—	—	—	—
4. United Nations Volunteers	632.4	—	336.7	295.7
5. Government-provided personnel	—	—	—	—
6. Civilian electoral observers	—	—	—	—
Total, category II	17 905.8	—	17 368.9	536.9
III. Operational costs				
1. Premises/accommodation	1 107.4	244.2	966.2	141.2
2. Infrastructure repairs	—	—	—	—
3. Transport operations	1 540.5	37.5	1 185.1	355.4
4. Air operations ^a	2 853.4	—	2 882.8	(29.4)
5. Naval operations	0.3	—	12.7	(12.4)
6. Communications	309.4	109.5	274.2	35.2
7. Other equipment	298.1	93.1	290.2	7.9
8. Supplies and services	1 217.0	49.6	966.5	250.5
9. Air and surface freight				
(a) Transport of contingent-owned equipment	1 000.0	—	1 000.0	—
(b) Commercial freight and cartage	18.0	—	18.8	(0.8)
Subtotal, line 9	1 018.0	—	1 018.8	(0.8)
Total, category III	8 344.1	533.9	7 596.5	747.6

	(1)	(2)	(3)	(4) = (1-3)
	<i>Apportionment</i>	<i>Non-recurrent expenditure*</i>	<i>Total expenditures (inclusive of non-recurrent expenditures)</i>	<i>Variance</i>
IV. Other programmes				
1. Election-related supplies and services	—	—	—	—
2. Public information programmes	88.7	—	44.0	44.7
3. Training programmes	—	—	—	—
4. Mine-clearing programmes	—	—	—	—
5. Assistance for disarmament and demobilization	—	—	—	—
Total, category IV	88.7	—	44.0	44.7
V. United Nations Logistics Base at Brindisi	—	—	476.1	(476.1)
VI. Support account for peacekeeping operations	561.0	—	561.0	—
VII. Staff assessment	1 238.0	—	1 196.4	41.6
Total, categories I-VII	30 318.8	533.9	29 412.0	906.8
VIII. Income from staff assessment	(1 238.0)	—	(1 196.4)	(41.6)
IX. Voluntary contributions in kind (budgeted)	(1 999.9)	—	(1 999.9)	—
Total, categories VIII and IX	(3 237.9)	—	(3 196.3)	(41.6)
Gross requirements	28 318.9	533.9	27 412.1	906.8
Net requirements	27 080.9	533.9	26 215.7	865.2
X. Voluntary contributions in kind (non-budgeted)	—	—	—	—
Total resources	27 080.9	533.9	26 215.7	865.2

* Includes budgeted voluntary contributions in kind.

Annex II

Supplementary information on significant variances

Civilian personnel costs

Apportionment: \$17,905,800; expenditure: \$17,368,900; variance: \$536,900

1. The unutilized balance of \$536,900 under this heading resulted from reduced requirements totalling \$623,000 under international and local staff (\$327,300) and United Nations Volunteers (\$295,700), which were partially offset by additional requirements for civilian police (\$86,100).

Civilian police

Apportionment: \$8,259,300; expenditure: \$8,345,400; variance: (\$86,100)

2. Additional requirements of \$86,100 under civilian police were attributable primarily to the payment of mission subsistence allowance at the higher first-30-day rate of \$124 to civilian police who rotated during the period from 1 January to 30 June 1998. The MIPONUH cost estimates of \$2,475,100 for this six-month period were based on payment at \$124 for 390 person-days for 13 civilian police to be deployed (\$48,400), at \$87 per day for 27,803 person-days (\$2,418,900), as well as within-mission travel at \$1,300 per month (\$7,800). Actual expenditures amounted to \$2,563,600 for payment of mission subsistence allowance at \$124 per day for 2,456 person-days (\$304,600) and at \$87 per day for 25,966 person-days (\$2,259,000). Expenditures for within mission travel were changed to travel.

International and local staff

Apportionment: \$9,014,100; expenditure: \$8,686,800; variance: \$327,300

3. The unutilized balance of \$327,300 under this heading resulted primarily from lower requirements for common staff costs, in particular in respect of mission appointees, and the fact that expenditures for local staff salaries for the first six-month period included in the revised cost estimates reflected gross amounts instead of net amounts.

United Nations Volunteers

Apportionment: \$632,400; expenditure: \$336,700; variance: \$295,700

4. The unutilized balance of \$295,700 was due to lower deployment of United Nations Volunteers than was planned for the MIPONUH mandate.

Operational costs

Apportionment: \$8,344,100; expenditure: \$7,596,500; variance: \$747,600

5. The unutilized balance of \$747,600 under this heading resulted from reduced requirements totalling \$790,200 under premises/accommodation (\$141,200), transport operations (\$355,400), communications (\$35,200), other equipment (\$7,900) and supplies and services (\$250,500), which were partially offset by additional requirements totalling \$42,600 for air operations (\$29,400), naval operations (\$12,400) and air and surface freight (\$800).

Premises/accommodation

Apportionment: \$1,107,400; expenditure: \$966,200; variance: \$141,200

6. Lower requirements for maintenance supplies and utilities were the main reason for the unutilized balance of \$141,200 under premises/accommodation. The mission reduced the number of generators throughout the mission area and consolidated the generator sets in Camp Argentine (formerly Camp Maple Leaf) in order to service a smaller population during the MIPONUH mandate.

Transport operations

Apportionment: \$1,540,500; expenditure: \$1,185,100; variance: \$355,400

7. There was an unutilized balance of \$355,400 under transport operations. Most of these savings related to spare parts, repairs and maintenance. During the reporting period, a total of 194 vehicles were written off and cannibalized. Spare parts were salvaged from those vehicles and used to meet requirements for the remaining fleet, thus reducing the need to purchase spare parts. Savings were also reported under rental of vehicles owing to the fact that funds had not been obligated for the second training course and the reimbursement costs of five maintenance personnel. Provision for these items will be included in the revised budget for 1998-1999.

Supplies and services

Apportionment: \$1,217,000; expenditure: \$966,500; variance: \$250,500

8. The unutilized balance of \$250,500 under this heading resulted primarily from the fact that there were fewer cases requiring medical treatment in Miami than estimated. Savings were also attributable to the hiring of fewer individuals under contractual services and the donation of a substantial stock of sanitation and cleaning materials from the departing Canadian contingent.

United Nations Logistics Base at Brindisi

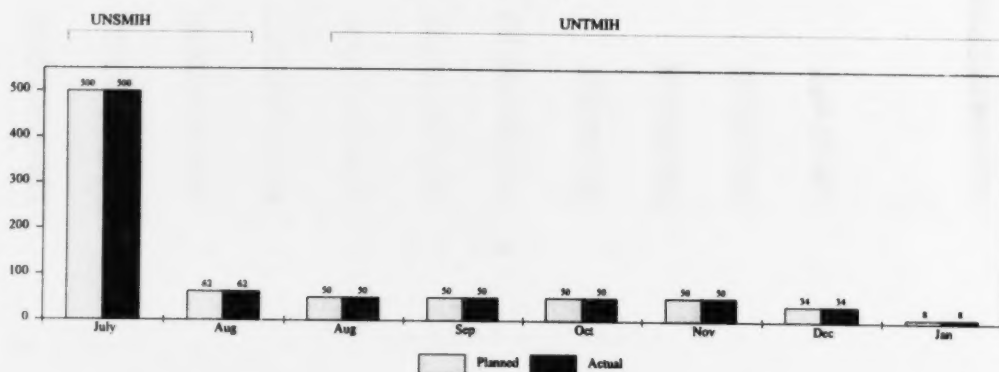
Apportionment: none; expenditure: \$476,100; variance: (\$476,100)

9. The expenditure of \$476,100 represents the mission's prorated share of the cost of maintaining the United Nations Logistics Base at Brindisi for the reporting period based on General Assembly resolution 52/1 A of 15 October 1997.

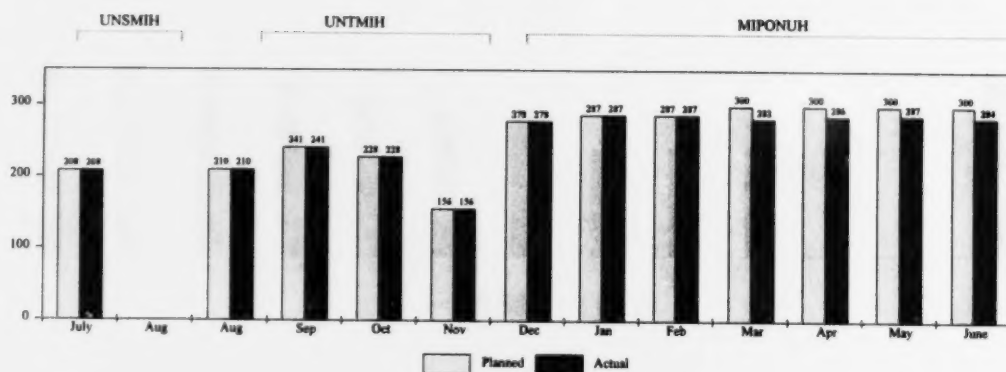
Annex III

Planned and actual deployment of military and civilian personnel for the period from 1 July 1997 to 30 June 1998

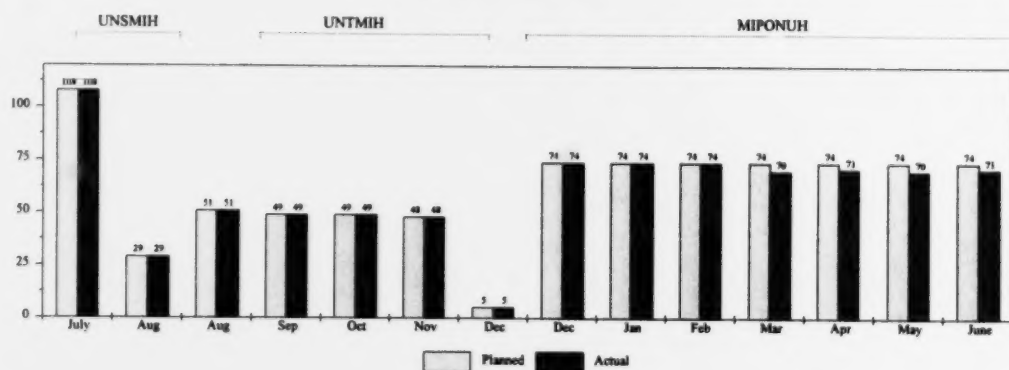
1. Military contingents



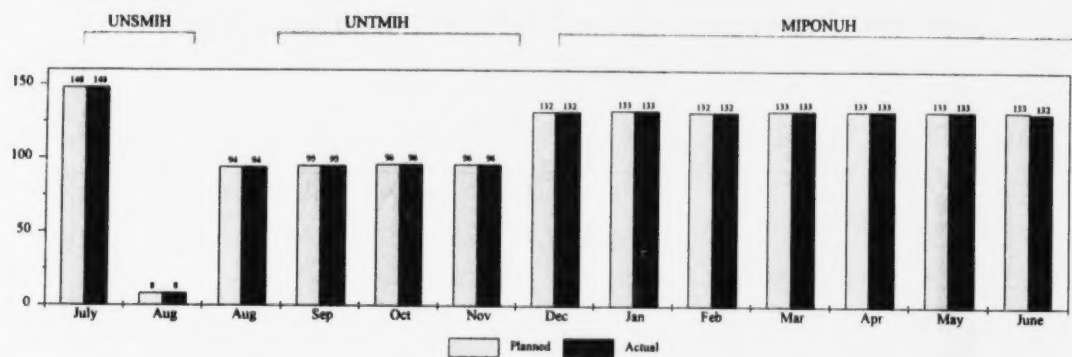
2. Civilian police



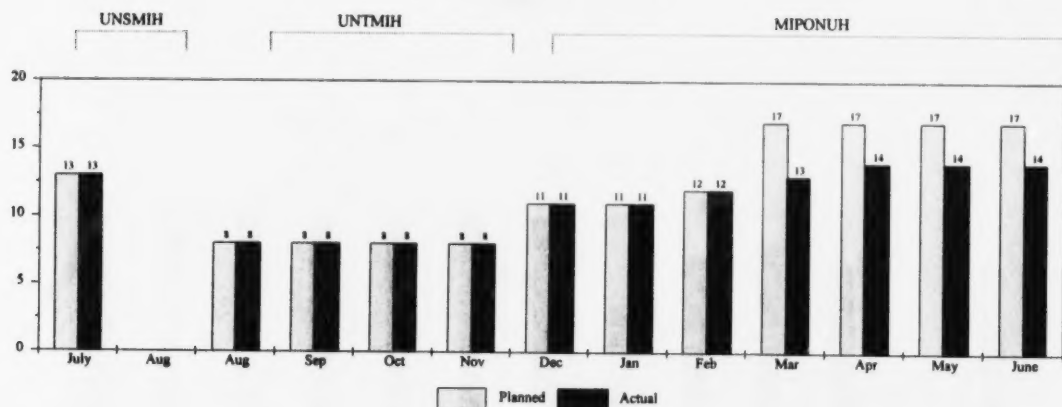
3. International staff



4. Local staff



5. United Nations Volunteers



Annex IV

Apportionment and expenditure for the period from
1 July 1997 to 30 June 1998